

Insider Trading

Course Outline – All Employees

Module	Learning Objectives	# of Customizable Pages
Introduction	<ul style="list-style-type: none">Understand how to successfully navigate and complete the course.	2
Define Insider Trading	<ul style="list-style-type: none">Define insider trading, non-public information and material information.Recognize examples of material information.Identify examples of insider trading.Recognize the costs and consequences of insider trading.	2
Avoid Insider Trading	<ul style="list-style-type: none">Define misappropriation of information.Define tipping as it relates to insider trading.Understand how to avoid inadvertently participating in tipping as a form of insider trading.Recognize exceptions to legal prohibitions on insider trading.	1

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Conclusion	<ul style="list-style-type: none">• Receive a summary of the course content.	8